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IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MONTANA
GREAT FALLS DIVISION

NATHANIEL LAKE,

Plaintiff,

v.

CORECIVIC, LLC, d/b/a
CROSSROADS CORRECTIONAL
CENTER; WARDEN PAT MCTIGHE;
TODD HORN and DOES 1-10

Defendants.

Case No. 4:21-CV-00116-BMM-JTJ

**DEFENDANTS' SUPPLEMENTAL
EXPERT WITNESS DISCLOSURE**

DEFENDANTS CoreCivic, Inc. and Warden McTighe submit this supplemental expert witness disclosure in accordance with the Amended Joint

Discovery Plan dated August 3, 2022, which requires disclosure of Defendants' liability and damages experts on today's date, November 30, 2022. (Doc. 21.)

Defendants previously disclosed its liability expert, Ms. Tara Diaz, on July 25, 2022 pursuant to the prior Discovery Plan. Ms. Diaz is expected to testify that CoreCivic and Crossroads Correctional Center staff complied with the applicable standard of care in housing Plaintiff, did not have reason to know that Plaintiff would be assaulted and were not deliberately indifferent. Ms. Diaz is expected to testify that CoreCivic provided training to Crossroads Correction Center staff which met or exceeded all industry standards. A supplemental report from Ms. Diaz is attached.

Defendants are not disclosing any damages experts at this time, however, reserve the ability to do so. Again, to date, Defendants have not received damages documentation from Plaintiff, despite initial disclosure requirements and repeated discovery requests, nor has it received Plaintiff's expert disclosures, which were required on October 26, 2022. Given the lack of discovery responses, document production, and overall case communication, Defendants are in the process of filing a motion for summary judgment to address these grievances. Both the necessary documentation and expert disclosures are essential to evaluate any damages claimed by the Plaintiff. As such, Defendants do not have sufficient information to disclose expert damages witnesses.

DATED this 30th day of November 2022

BROWNING, KALECZYC, BERRY & HOVEN, P.C.

By 

Hallee C. Frandsen
Chad E. Adams

Attorneys for Defendants

CERTIFICATE OF SERVICE

I hereby certify that on the 30th day of November 2022, a true copy of the foregoing was served:

Mailed by first-class mail, postage prepaid to the following parties:

Melinda A. Driscoll
Fred Law Firm, PLLC
214 N. 24th Street
P.O. Box 2157
Billings, MT 59103-2157



BROWNING, KALECZYC, BERRY & HOVEN, P.C.

Expert Report of Tara Diaz

Nathaniel Lake v. CoreCivic, LLC., d/b/a Crossroads Correctional Center; Warden Pat McTighe; and Does 1-10 No. 4:21-cv-00116-BMM-JTJ

I. OVERVIEW

I am a correctional professional who retained by CoreCivic to render an opinion with respect to the operation of Crossroads Correctional Center (“CCC”). Specifically, whether on September 17, 2018, CoreCivic or its staff demonstrated negligence by not meeting the applicable standard of care or otherwise failed to adequately protect, leading to the assault of Nathaniel Lake (“Lake”) by another inmate and to examine the living and working conditions with respect to staffing, staff training, and general security procedures.

II. BACKGROUND & QUALIFICATIONS

I am a retired corrections administrator with nearly 30 years experience working a variety of correctional environments. During my tenure, I have held various line and supervisory level positions with the Arizona prison system, to include Deputy Warden, Warden, Regional Director, and Prison Operations Division Director. As the Prison Operations Division Director for the Arizona Department of Corrections, Rehabilitation and Reentry, I was responsible for the oversight and management of ten state and six private prison facilities which housed approximately 43,000 inmates. My duties and responsibilities included developing and revising policy, reviewing critical incidents to determine compliance, and taking corrective action as warranted to ensure the facilities were safe, sanitary, and secure in accordance with industry best practices and correctional standard of care. In each correctional role I held, I was responsible (at some level) for the safe, sanitary, and secure operation of the correctional facilities I managed.

My opinions are based upon my substantial experience managing, operating, and overseeing correctional institutions and presiding over a statewide prison system, including direct supervision of my subordinates who (among other things) were responsible for the safe, sanitary, and secure operation of each institution. I am experienced in sound correctional practice.

A true copy of my current resume is attached as **Exhibit A**, which lists my work experience and service as a correctional consultant. In the last two years I have been deposed as an expert witness in one case: Coyoy v. CoreCivic, Inc., No. 5:19-DV-00916-FB (W.D. Tex).

III. OPINIONS

1. The circumstances leading to the assault of Lake were not a result of a breach of the standard of care, negligence, or deliberate indifference on the part of CoreCivic or its agents;
2. The living and working conditions at CCC on September 17, 2018, were safe and met correctional industry standards;
3. CoreCivic CCC custody staff responsible for the supervision of Lake and Danell on September 17, 2018, consistently met or exceeded the correctional standards for staff training

IV. FACTS AND DATA CONSIDERED IN FORMING OPINION

I considered information from a variety of sources in the course of my work on this case. Sources included court filings submitted by both parties, video surveillance recordings, telephonic interview of staff, incident statements from both staff and inmates, staff training records, CoreCivic and applicable Montana Department of Corrections policies and procedures specific to CCC, and a voluminous number of other documents. All documents are listed in **Exhibit B**. My opinions are also based upon my familiarity with and review of American Corrections Association (“ACA”) standards which are referenced herein, and my experience in reviewing incidents of inmate assaults. Such reviews were inclusive of the circumstances leading to the assault, staffing levels, staff training, policy compliance and corrective action, as warranted.

1. Circumstances Leading to the Assault of Lake

It is my opinion that Danell assaulted Lake due to a belief that during recreation Lake had caused injury to one of the dogs in the prison program, and not due to neglect on the part of CoreCivic or its staff.

A review of video footage from September 17, 2018, shows Lake “kicking” at a black dog while on the recreation field. Lake’s “kicking” action is not a hard kick to the dog, but more a means of “shooing” the dog away. After returning from recreation, Inmate Reid Danell (“Danell”) was told that Lake had kicked the dog, resulting in a loss of a tooth. In a fit of rage, Danell took it upon himself to assault Lake (as per Danell’s written incident statement). CoreCivic staff could not have predicted the assault by Danell as there was no indication of a conflict between the two individuals prior to the assault.

Based upon my review, the assault of Lake was not correlated to his sex offense conviction as alleged by Plaintiff’s Complaint (Lake V. CoreCivic Complaint at ¶ 17.)

2. Staffing Levels / Inmate Population

In September 2018 CCC was operating as a 709-bed male corrections facility; 613 of those beds were contracted by the Montana Department of Corrections (MDOC). The MDOC physical inmate count on September 17, 2018, was 564. The physical count for E wing was 286 inmates.

The custody of inmates assigned to E wing was minimum unrestricted, minimum restricted, medium unrestricted and medium restricted. On September 17, 2018, Lake was classified minimum restricted, and Danell was medium/restricted based on a custody override from close custody.

In May 2018, a classification override for Danell was recommended by the unit management team with the following comments: *“Inmate Danell arrived at CCC 5/12/2015. He is complete-compliant with treatment programs. PREA remains valid as of 11/07/2015. Reid has not appeared in front of the Parole Board to date, his Parole Eligibility date is on 9/16/2034. Danell considered atypical due to being STG but is inactive. He receives satisfactory housing and work evaluation for the last three years. He has over a year and a half clear conduct. He has completed his HISET, became a mentor for CP&R, is part of the Brail Program, is the C-Pod Representative, and has multiple other programs in this last year that he was not recommended or ordered to complete. The CCC Unit Team recommends an override to Medium/Restricted. The Unit Team believes that I/M Danell can be managed at a lower custody level and has made great progress in his programing and will continue to make progress outside of the close custody unit.”* The classification was ultimately approved by “B. Reich” (Billy Reich) on who is a MDOC employee. I noted the date of approval by Mr. Reich is typed as 4/7/2018. This may well be a

typographical-error as the classification was completed in May 2018. MDOC classification policy was followed in granting the override in custody based on his demonstrated institutional adjustment.

A review of Dannell's classification history shows that he has previously received overrides to increase his custody, keeping him in maximum custody even though he scored close custody. This, as well as the above override down in custody, demonstrates MDOC practices objective classification rather than merely using a scoring system.

Additionally, MDOC classification policy allows minimum / medium inmates to be housed, attend recreation, meals, and programming together. This is widespread practice and policy in other corrections agencies. It is my experience that minimum/medium custody inmates are housed in a less restrictive environment in the facility with frequent, but indirect supervision. ACA inmate classification standards speak to an objective classification system and management of the inmate population.¹

The CCC 2018 Operational Staffing Pattern allocated 169.6 total positions. Of the 169.6 positions, 36 vacancies existed according to a report generated September 24, 2018; 22 were Correctional Officer ("CO") positions.

The CCC Daily Shift Roster for September 17, 2018, day shift (6 a.m. -6 p.m.) shows all essential posts staffed. Of the 26 CO's on shift, 7 were working overtime at the beginning of shift. Additionally, there were two shift supervisors assigned. Staffing in the housing unit where Lake and Danell resided consisted of a control room officer and two floor housing officers.

Based upon my review, essential staffing on September 17, 2018, was in accordance with the established post chart. Additionally, the staffing pattern used for the housing area where Lake and Dannell resided is congruent with other correctional agencies with matching physical plant,

¹ ACA standards for classification state: Written policy, procedure, and practice provide for a written inmate classification plan. The plan specifies the objectives of the classification system and methods for achieving them, and it provides a monitoring and evaluation mechanism to determine whether the objectives are being met.

Comment: The classification system should consider an assessment of risk and the efficient management of the inmate population. No inmate should receive more surveillance or assistance than required or be kept in a more secure status than potential risk requires. See ACA standard 5-ACI-5B01, Standards for Adult Correctional Institutions, Fifth Edition.

and inmate populations. The established staffing levels provided the ability for staff to supervise inmates by conducting continuous movement security checks of the areas.

3. Security Protocols

The housing floor officers are responsible for conducting security checks on inmates in 3 different living areas, commonly referred to as “pods”. Security checks are to be conducted every 30 minutes. The housing floor officer is a continuous motion post as the standard of care does not require direct supervision of inmates 100% of the time. Per CoreCivic/CCC General Order (CoreCivic PO-00), staff are to: *Maintain inmate/ resident accountability by making frequent, irregular tours throughout the housing unit. Be observant and visible to the inmate/resident population.* Additionally, the post order states the following regarding security checks: *Security Checks will be conducted on each shift in a manner to prevent inmates/residents from knowing the extent and time of the check.*

The housing control room officer is a stationary post, responsible for the management of all ingress/egress of all doors within the housing unit. The CoreCivic/CCC General Order regarding unit ingress/egress directs those inmates assigned to the unit are only allowed to access the actual pod they are assigned, unless escorted by staff. For staff safety purposes, the officer assigned to this post additionally must maintain a visual on the housing floor officers as they conduct their duties.

Upon review of the surveillance video of E Wing from September 17, 2018, I observed inmates exiting and entering E pod. I also observed two staff in E pod talking with inmates and then exit, approximately one minute prior to Danell entering the pod. It appears an inmate inside E pod was talking to Danell through the window prior to his entry. While Danell should not have been allowed enter E pod, it appears the inmate inside the pod assisted Danell to gain access. A control room officer has limited visibility inside the pods and often communicates with inmates via the intercom system to allow inmates in/out of the pods as they exit and return from activities located elsewhere. Despite having adequate staffing and security measures in place in compliance with the standard of care for correctional facilities, inmates may sometimes take advantage of this and slip in or out of areas they are not authorized. When staff find inmates in unauthorized areas, the inmate is issued disciplinary. Unfortunately, it is not possible given the manipulative nature of some inmates, as well as adequate but limited staff and resources in the prison environment, to

always keep inmates from unauthorized areas. Danell went to Lake's cell and was in E pod for three to three and a half minutes before exiting. According to the reports, approximately 12 minutes later, staff re-entered the pod, was notified that Lake was lying on the floor of his cell, and immediately went to cell E220. Upon finding Lake lying on the cell floor with injuries, the CO called for an emergency response team. This was the appropriate action as additional staff and medical immediately responded.

4. Staff Training

CoreCivic/CCC Policy 4-1, Learning and Development was revised October 2, 2017, and meets ACA standards. Policy 4-1, section C1 requires all new employees to complete a minimum of 40-hours orientation training before taking their post.² New Custody employees are required to a minimum of 120 hours of training during their first year of employment.³ In-service employees are required to complete 40-hours of training each year.⁴ Each category of training specifies specific course topics that are required.

A 3-year review of training records on the CO's assigned to the housing unit where Lake resided on September 17, 2018, was conducted. Records reviewed were those of CO's Skarantavos, Horn and Davis.

CO Skarantavos completed 164.5 hours of in-service training during calendar year 2018, 95 hours in 2017, and 196 hours of pre-service training in 2016-January 2017. CO Horn completed 81.5 hours of in-service training during calendar year 2018, 206 pre-service training hours in 2017

² ACA standards for orientation states: Written policy, procedures and practice provide that all full-time employees must complete a formalized 40-hour orientation program before undertaking their assignments. See ACA Standard 5-ACI-1D-10, Standards for Adult Correctional Institutions, Fifth Edition

³ ACA standards for correctional officers states: Written policy, procedure, and practice provide that all new correctional officers receive 120 hours of training during their first year of employment. See ACA Standard 5-ACI-1D-12, Standards for Adult Correctional Institutions, Fifth Edition

⁴ ACA standards for in-service training states: Written policy, procedure, and practice provide that all correctional officers receive at least 40 hours of annual training. See ACA Standard 5-ACI-1D-13, Standards for Adult Correctional Institutions, Fifth Edition.

(due to being a re-hire), and 46 in-service hours in 2016. CO Davis completed 75 in-service training hours in 2018, 57 hours in 2017, and 49 hours in 2016.

All training records demonstrate CoreCivic CCC staff met, or exceeded, ACA standards for in-service and pre-service training hours and course topics during the period reviewed.

V. CONCLUSION

It is my conclusion that CoreCivic and Crossroads Correctional Center staff complied with applicable standards of care in housing Lake; did not have reason to know that Lake would be assaulted by Danell and were not deliberately indifferent. CoreCivic CCC has written policies and documentation related to staffing, training, inmate classification and security procedure that meet industry standards, providing a safe working and living environment for staff and inmates. Inmates at CCC are housed and interact according to custody classifications, as allowed per MDOC and CoreCivic policy and is consistent with other correctional agency practices and policies.

My review revealed staff meet or exceed all industry standards for training. It is my professional opinion manipulation on the part of Danell caused the assault of Lake rather than deliberate action or inaction on the part of staff, or an absence written security practices and policies.

Based on additional information becoming available, I may have additional opinions.

The foregoing is true and correct to the best of my knowledge.

Tara Diaz

October 8, 2022

Exhibit A

Tara Diaz

219 E. Leland St., 85201 Mesa

(480) 522-6255

mt Diazcc@gmail.com

PROFILE

Correctional professional with almost 30 years experience in the administration of public safety programming and correctional oversight. Experience includes working at the state level providing evidence-based programming, best-practice security procedures, developing and implementing policy, contract negotiations, and the resolution of legal issues.

WORK EXPERIENCE

Corrections Consultant / Expert Witness
Diaz Correctional Consultants, LLC, Mesa, Arizona

April 2020- Current

Providing corrections related consulting and expert witness services. Reviewing evidence, providing, preparing reports, and testifying as needed. Provide general assistance in the areas of policy development, preparing requests for proposals, assessing training programs, standard compliance, safety concerns, system/process improvements including, but not limited to physical plant modifications and staffing levels.

Prison Operations Division Director – Retired
Arizona Department of Corrections, Phoenix

Jul 2019 - Jan 2020

Responsible for the oversight and management of ten state and six private prison facilities, over 8000 staff in the classifications of correctional security, programs, facility maintenance, inmate classification and administrative support, and approximately 43,000 inmates. Served as the agency representative for all legal actions involving prison operations. Developed and revised policy as necessary to ensure industry best-practices and staff safety.

Regional Director
Arizona Department of Corrections, statewide

Jan 2013 – July 2019

Provided regional oversight and management of state and / or private contracted prison wardens and operations. Gathered and reviewed data to make sound, data driven recommendations for changes to facility operations and inmate management. Served as the Deputy Contract Beds Regional Director January 2013-October 2014.

Correctional Officer – Warden
Arizona Department of Corrections, statewide

Jul 1990 – Dec 2012

I started as a correctional officer and promoted through the security ranks to facility warden. I Worked seven different prison complexes and every custody level, both male and female inmates.

EDUCATION AND OTHER BACKGROUND INFORMATION

Bachelor of Arts Interdisciplinary Studies - Criminal Justice
Northern Arizona University, Flagstaff, Arizona

Associate of Applied Science Corrections
Rio Salado College, Tempe, Arizona

Certificate of Public Administration
Arizona State University, Tempe, Arizona

Member of the American Correctional Association

EXHIBIT B

1.	Plaintiff's Complaint
2.	CoreCivic's Answer to Plaintiff's Complaint and Demand for Jury Trial
3.	Plaintiff's First Amended Complaint
4.	CoreCivic's Answer to Plaintiff's First Amended Complaint
5.	CCC Surveillance Video of recreation yard and E Wing Housing Unit
6.	Incident Statements (CoreCivic/Lake000030-000043)
7.	Facility Emergency Anatomical Form (CoreCivic/Lake000044-000048)
8.	Disciplinary Infraction Reports (CoreCivic/Lake 00049-000051)
9.	Escape Flyer's (CoreCivic/Lake 000052-000056)
10.	Photos- Inmate Allen, Kenneth (CoreCivic/Lake000057-000058)
11.	Photos – Inmate Danell, Reid (CoreCivic/Lake000059-000060)
12.	Photos – Inmate Funk, Mark (CoreCivic/Lake000062-000061) (CoreCivic/Lake000071)
13.	Photos – Inmate Lake, Nathaniel (CoreCivic/Lake000063-000068)
14.	Photos – Inmate Rodriguez (CoreCivic/Lake000069-000070)
15.	Incident Report Packet 2018-2801-074-I (CoreCivic/Lake000073-000082)
16.	CoreCivic General Orders (PO-00) (CoreCivic/Lake000083-000097)
17.	CoreCivic Count Principles and Procedures Policy 9-13

	(CoreCivic/Lake000098-000102)
18.	CCC Daily Shift Roster 0600-1800, September 17, 2018 (CoreCivic/Lake000103-000104)
19.	CCC 2018 Operational Staffing Pattern (CoreCivic/Lake000105-000107)
20.	CCC Staff Vacancy Report dated 9/24/18 (CoreCivic/Lake000108)
21.	Lake Classification & Orientation Records (CoreCivic/Lake000109-000149)
22.	Danell Classification & Orientation Record (CoreCivic/Lake000150-000235)
23.	Danell Montana Department of Corrections Disciplinary Hearings Listing (CoreCivic/Lake000236-000238)
24.	Lake Montana Department of Corrections Disciplinary Hearings Listing (CoreCivic/Lake000239)
25.	Montana Supreme Court decision regarding Lake criminal case (CoreCivic/Lake000240-000253)
26.	Wing E Pod Control Logs (CoreCivic/Lake000254-000259)
27.	CCC Wing Schematic (CoreCivic/Lake000260)
28.	CoreCivic/CCC Learning and Development Policy 4-1, Effective date:10/2/2017 (CoreCivic/Lake000261-000272)
29.	CoreCivic/CCC Maintenance of Training Records Policy 4-2, Effective 9-13-17 (CoreCivic/Lake000273-000276)
30.	Staff Training Records (Horn, Skarvanto, and Davis) (CoreCivic/Lake000277-001459)
31.	Reid Danell Classification Summary - May 2018 (CoreCivic/Lake001060-001067)

32.	Montana Department of Corrections Inmate Classification Policy Eff: September 1, 1998/Revision July 12, 2016 (Confidential: Subject to Protective Order)
33.	Montana Department of Corrections Classification Handbook, June 2017 (Confidential: Subject to Protective Order)
34.	CCC Unit/Pod Count Report for September 17, 2018
35.	CCC Classification Roster for September 18, 2018
36.	Montana Department of Corrections Main Prison File for Nathaniel Lake & Danell Reid (CoreCivic/Lake000277-001100)